Under the Paperwork Reduction Act of 105, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (08-03) (AW 10/2003) Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 10

Name (Print/Type)

Signature

Fran Petrillo

Filing Date September 9, 2003 Hiroyuki Nakamura, et al First Named Inventor 3662 Art Unit To Be Assigned **Examiner Name**

10/657,944

MTS-3462US

Date

February 18, 2004

ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Fee Attached Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Amendment/Reply Petition After Final Appeal Communication to Group Petition to Convert to a (Appeal Notice, Brief, Reply Affidavits/Declaration(s) Provisional Application Brief) Power of Attorney, Revocation, Extension of Time Request Proprietary Information Change of Correspondence Address Status Letter **Express Abandonment Request** Terminal Disclaimer Other Enclosure(s) (please Information Disclosure Statement Request for Refund identify below): Certified Copy of Priority Document(s) PTO Form 1449, 7 references, CD, Number of CD(s) _ European Search Report, return postcard Response to Missing Parts/ Incomplete Application Remarks: Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm or Registration No. (Attorney/Agent) 19,717 Allan Ratner Individual Signature February 18, 2004 Date **CERTIFICATE OF TRANSMISSION / MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, February 18, 2004 VA 22313-1450 on this date:

Application Number

Attorney Docket No.

+ 7 references

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

Petrille

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MTS-3462US **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/657,944

Applicant:

Hiroyuki Nakamura et al.

Filed:

September 9, 2003

Title:

BAND ELIMINATION FILTER, FILTER DEVICE, ANTENNA DUPLEXER

AND COMMUNICATION APPARATUS

TC/A.U.:

3662

Examiner:

To Be Assigned

Confirmation No.: 1606

Docket No.:

MTS-3462US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of the listed reference is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- DE 198 18 826 1)
- 2) DE 199 32 649

Reference 2) has a counterpart English language application attached.

Further, References 1) and 2) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent

MTS-3462US PATENT

application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. MPEP Section 609 IIIA(3), second paragraph.

Reference EP 1 050 962 was filed in a Supplemental Information Disclosure Statement on September 9, 2003.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfolly submitted,

Allan Ratner, Reg. No. 19,717

Attorney for Applicants

AR/fp

Enclosures: European Search Report

PTO Form 1449 (7) References Transmittal Form

Dated:

February 18, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

February 18, 2004

Substitute for Form 1449/PTO		Complete if Known		
	Application Number	10/657,944		
INFORMATION DISCLOSURE	Filing Date	September 9, 2003		
ON ESTATEMENT BY APPLICANT		Hiroyuki Nakamura et al.		
(Use as many sheets as necessary)	Art Unit	3662		
	Examiner Name	To Be Assigned		
SHEET 1 of 2	Attorney Docket No.	MTS-3462US		

	Document Number			Pages, Columns, Lines, Where	
 Cite No.1	. I 2 (if known) I	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	US 4,803,449 A	02/07/89	Hikita et al.	Claims 1-4; Figs. 5 & 7.	
	US 4,954,793 A	09/04/90	Misu et al.	See search report.	
_	US 6,424,238 B1	07/23/02	Penunuri	See search report.	
 _					

		FOREIGN PA	TENT DOCUMEN	TS :		(· · · ·
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		DE 198 18 826 A	11/04/99	SIEMENS MATSUSHITA COMPONENTS	See search report.	
		DE 199 32 649 A (with counterpart CA 2378303)	02/08/01	EPCOS AG	Claim 8.	
		CA 2378303 A1 (with counterpart DE 199 32 649)	01/18/01	EPCOS AG	Entire document.	
		EP 0 897 218 A	02/17/99	MURATA MANUFACTURING CO.	Abstract.	
	<u> </u>					
	l					

Examiner		 Date	*
Signature		Considered	
	···		

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (AW 4/25/03) (04-03)
Approved for use through 04/30/2004. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449/PTO	Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	10/657,944	
	Filing Date	September 9, 2003	
	First Named Inventor	Hiroyuki Nakamura et al.	
	Art Unit	3662	
	Examiner Name	To Be Assigned	
SHEET 2 of 2	Attorney Docket No.	MTS-3462US	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		European Search Report for EP 03 02 0624, dated December 16, 2003.	
Examiner		Date	

Signature		Considered	J
*EXAMINER: I	nitial if reference considered, whether or not citation is in conformance with MPEP 609. Draw (in	line through citation if not in conformance and not	

considered. Include copy of this form with next communication to Applicant.

Considered. Include copy of this form with next communication to Applicant.

1-Applicant's unique citation designation number (optional).

2-Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.